



<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/798,217	
	Filing Date	03/09/2004	
	First Named Inventor	Roy C. Wiley	
	Art Unit	3763	
	Examiner Name		
Total Number of Pages in This Submission	8	Attorney Docket Number	4001-0024B (ZM0626)

<b>ENCLOSURES</b> (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Remarks</b> Please charge any fee(s) that is(are) due, and charge any shortages in fees or credit any over payments throughout the pendency of this application to deposit account No. 50-2779 (Zimmer Technology, Inc.).	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Herewith please find the following:  A. This Transmittal Form including a deposit account authorization, in duplicate (2 pages total); B. A Preliminary Amendment (6 pages); and C. A self-addressed, stamped return postcard listing items A-B.	

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
Firm or Individual name	Gerald W. Roberts Registration No. 45,046 Indiano Vaughan Roberts & Filomena, LLP, One N. Pennsylvania St., Suite 850, Indianapolis, IN 46204 (317)822-0033
Signature	
Date	August 27, 2004

<b>CERTIFICATE OF TRANSMISSION/MAILING</b>	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	Gerald W. Roberts, Registration No. 45,046
Signature	
Date	August 27, 2004

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NOTE: This Transmittal Form includes a deposit account authorization. Please see the Remarks section.



IFW

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PATENT APPLICATION

*IN THE UNITED STATES PATENT AND TRADEMARK OFFICE*

Application Serial No.: 10/798,217 }  
Applicant(s): Wiley et al. }  
Application Filed: March 9, 2004 }  
Title: ORTHOPAEDIC INJECTION }  
RESTRICTOR APPARATUS }  
AND METHOD }  
Art Unit: 3763 }  
Examiner: Unknown }  
Atty. Docket: 4001-0024B (ZM0626) }  
Cust. No.: 000043232 }

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on August 27, 2004

Gerald W. Roberts  
Gerald W. Roberts, Reg. No. 45,046

Dated: 08/27/2004

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

Preliminary to substantive examination, please amend the above-identified application as follows:

**No Amendments to the Specification** are presented.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this Preliminary Amendment.

**No Amendments to the Drawings** are presented.

**Remarks/Arguments** begin on page 6 of this Preliminary Amendment.